

# Simplify Management and Streamline Administration of your Shareholders.

## Key features

- Centrally managed shareholder information
- Management of trades in certificated and dematerialised shares
- Integrated with the Central Securities Depository
- Dividend processing
- Issuance of Restricted Shares
- Proxy voting notifications
- Corporate notifications
- Stock splits

## Key benefits:

- Simplifies management of shareholder information
- Relieves accounting staff of many administrative tasks and reporting
- Reduces time to administer corporate actions
- Automates vesting periods
- Saves significant time processing shareholder payments
- Provides a fully auditable process
- Co-ordinates shareholder communication based on their personal preferences

## Shareholder Registry Management

Whether managing your own shareholders or those of several other companies, Quantum SRS simplifies the process and saves you time. Workflow logic ensures processes are completed efficiently and reminders are generated for actions pending. Quantum SRS provides a fully audited solution for managing trades whether they are certificated or dematerialised

## Simplified Corporate Actions

Although happening less often than a transfer of shares, Corporate Actions can be extremely time consuming. Quantum SRS manages all Corporate Actions through simple workflows that take minutes to action and then set off the appropriate calculations, payments and notifications. All actions are fully auditable, producing reports for your records.

## Integration with Central Securities Depository (CSD)

The integration with central securities depository settlement systems increases accuracy and saves time. Quantum SRS automatically pulls trades from the CSD into your console for processing. Your administrator maps a shareholder to the CSD transaction and this information will be saved for future reference; should the shareholder trade again, the link will already be made.

## Versions

- Quantum SRS Professional for a single issuer
- Quantum SRS Enterprise for managing an unlimited number of issuers

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## QuantumSRS Features

### Automated Payment Processing

Various payment types can be accommodated and the payment is automatically processed when required. Cheques are printed and those requiring Direct Deposits or Bank Drafts are managed by creating an export for your bank to process. Quantum SRS can also manage a Dividend Reinvestment Program or Donations.

### Cheque creation and management

For security, cheque details are printed onto your cheque paper. Through the system it is possible to cancel cheques, reissue and set creation to 'on hold' pending delivery instructions. Cheques can be reconciled and details reported upon.

### Restricted Shares/Stock Options

For companies offering restricted shares or stock options, Quantum SRS will manage these accounts, provide workflows to grant shares and automate the vesting schedule.

### Capital Injection and retirement of shares

When it is necessary to either increase share capital or retire shares, Quantum SRS will manage the process and produce the necessary reports. For those using a Dividend Reinvestment Program, share authorisation limits will be tracked.

### Stock Splits and automated allocation

Stocks splits are drastically simplified with Quantum SRS. Fractional shares are calculated and dividends created for the appropriate amount. For shares yet to be vested, this is managed proportionally.

### Shareholder communication

Customisable shareholder notification and dynamic proxy report creation allows registrars to simply and efficiently communicate with shareholders.

### Reporting

There are a number of out-of-the-box reports for core areas and Nova can work with you to define custom reports if required.

### Compliance and Regulations

Statistics are maintained providing issuers assurance that they are compliant with corporate regulations. Quantum SRS enables Know Your Client ("KYC") record keeping against private shareholder, trusts, corporate and nominee accounts. Quantum SRS was also developed with US GAAP and the reporting requirements of ASC 718 (formerly FAS 123(R)) in mind.

### Managing Multiple Issuers

As companies undergo routine financial audits, Nova recognises the need to clearly separate data from different issuers. Quantum SRS offers physical separation of repositories per issuer, but they are all accessible from a single user interface.

### Customisation

As a software development and consultancy company, Nova is ideally positioned to understand your current environment and manage the integration with Quantum SRS. Should you have requirements for additional features, we can discuss options to create a specific module for you.

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## About Nova

Nova is a software development company offering products and consulting services predominantly to the Bermuda market. On top of a growing product line, Nova provides enterprise architecture, custom application development, business intelligence and SharePoint solutions.

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